



University of Michigan

交大密西根学院

UM-SJTU Joint Institute



Shanghai Jiao Tong University

Course Profile

Degree Program:

- ECE-Electrical & Computer Engineering
- ME -Mechanical Engineering
- General Courses for Both ECE & ME Degree Programs

Course Name:

Modern Asia – Colonialism, Anti-Colonialism and State Formation

Course Code: VR204

Course Credits: 4

Course Category: Required Elective

Terms Offered:

- Fall
- Spring
- Summer 2013-2014

Course Pre/Co-requisites: none

Instructor:

Name: Dr. Sam Ro
Email: soong-chul.ro@sjtu.edu.cn
Room: Room 411
Office Hours: Monday 14.00-15.40*; Wednesday 14.00-17.40
(Monday Office Hours for week 1-3 will take place on Wednesday 12.30-14.00)

Teaching Assistant:

Name: Mr. Haitian He
Email: hehaitian-atji@sjtu.edu.cn
Office Hours: TBA

Class Time and Location:

Tuesday	16.00-17.40	Dong Xia Yuan 404
Tuesday	18.20-20.00	Dong Xia Yuan 404 (odd weeks)
Thursday	16.00-17.40	Dong Xia Yuan 404



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Textbook:

Borthwick, M.(1998) *Pacific Century: the Emergence of Modern Pacific Asia*, 2nd edition.
Boulder: Westview Press (or 3rd edition 2003)

SarDesai, D.R. *Southeast Asia: Past and Present*, 5th edition. Boulder: Westview Press, 2003

* Buying a textbook is not compulsory for this course but students are required to read related chapters or articles found in the list of articles for each week (see longer version of the syllabus on Sakai).

These articles are mostly available from **SJTU library's data base page**. Journal articles available through **JSTOR** or **EBSCO – Academic Source Complete (ASC)** in this list will be indispensable for your essay.

Recommended Reading:

Beasley, W. G. *The Rise of Modern Japan: Political, Economic and Social Change Since 1850*.
London: Weidenfeld & Nicholson, 1995.

Christie, C. J. *Southeast Asia in the Twentieth Century: A Reader*. London: Tauris, 1997.

_____. *Ideology and Revolution in Southeast Asia, 1900-1980: Political Ideas of the Anti-Colonial Era*. London: Curzon, 2001

Dixon, C. *South East Asia in the World-Economy*. London: Cambridge University Press, 1991

Schirokauer, C. and Donald N. Clark. *Modern East Asia: A Brief History*, 2nd edition. Boston: Houghton Mifflin Company, 2008



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Grading Policy:

Final exam	20%
In-class Quizzes (throughout the semester)	20%
Presentation (throughout the semester)	30%

Each student will choose one country for a case study (you cannot choose your home country and you cannot choose China for this course) and form a group with others who study the same country (4-5 members in each group). There will be 4 presentations and exact task will be announced during the lecture. The fourth presentation will be your group's travel plan to that country. Final score will be the average of all the presentation and your film notes.

Assignments	30%
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Students will be asked to write three short essays (500 words) throughout the semester.

Exact task will be announced during the class.

Academic Integrity:

All students in the class are presumed to be decent, honourable and bound by the Honour Code of the Joint Institute (visit <http://umji.sjtu.edu.cn/honorcode> for more details)

Plagiarism is a very serious offence and will not be tolerated under any circumstances. You must appropriately acknowledge your use of others' works. Putting a list of references at the end of your paper is not enough: your essay must contain in-text citations each time you quote information, results of research, and arguments that you took from other sources. If you are in doubt as to the right way of referencing, consult the instructor BEFORE the submission, NOT after.

In addition, any form of cheating in exam or in preparation of final essay will result in scoring 0 for the assignment or exam and could lead to further disciplinary actions.



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Course Description

This course provides a general overview of modern history of East Asian countries focusing on the process of state-formation. It deals with the period, broadly, from around the middle of the 19th century to the end of the Indochina Conflicts. Main themes include colonial transformation of traditional societies, the rise of nationalism and anti-colonialism, Pacific War and the Cold War.

Students successfully completing this course are expected to have attained general understanding of various aspects of Modernity in Asia and broad knowledge of historical basis of current political and economic issues in Pacific Asia

Apart from standard lectures there will be several films illuminative of the period shown during the class and students are required to choose one particular country for a case study and make five (group) presentations throughout the semester.



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Teaching Schedule (Summer 2014)

Week	Date	Tuesday (16.00-17.40: F404)	Tuesday (18.20-20.00: F404)	Thursday (16.00-17.40: F404)		
1	May	12	Introduction to the Course	Introduction: 'Modern' 'Asia'	Traditional Asia (ch.1)	
2		19	Traditional Asia (ch.1)		Traditional Asia (ch.1)	
3		26	European Expansion in Asia (ch.2): 77-118	<i>Film – Twilight Samurai</i>	European Expansion in Asia (ch.2): 77-118	Assignment I
4	June	2	Impacts of European Colonialism in Southeast Asia (Dixon 1991: 57-148)		Impacts of European Colonialism in Southeast Asia (Dixon 1991: 57-148)	
5		9	Presentation 1a: Introduction	Presentation 1b: Introduction	Presentation 1c: Introduction	
6		16	Japan's Rise – Meiji Restoration (ch.3): 119-142; 155-160		Japan's Rise – Meiji Restoration (ch.3):	Assignment 2
7		23	Interwar period Asia (ch.4): 161-201	<i>Film – Barefoot Gen</i>	The Second World War and Asia (ch.4): 201-211; (ch.5) 213-230	
8		30	Country cases: Malaysia		Country cases: the Philippines	
9	July	7	Presentation 2a: Responses to colonialism	Presentation 2b: Responses to colonialism	Presentation 2c: Responses to colonialism	Assignment 3
10		14	Cold War in Asia (ch.9)		Indochina Conflicts (Tonnesson 1985)	
11		21	Presentation 3a: Travelling with history	Presentation 3b: Travelling with history	Presentation 3c: Travelling with history	
12		28	<i>Film – Tae Guk Gi</i>		Review Class	
13	Aug.	4	Final Exam (exact date will be announced later by the Academic Office)			



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Additional Reading:

* Highly recommended

Modernity in Asia (Week 1)

* Greenfield, L. (1996) 'Nationalism and Modernity'. *Social Research* 63(1) (Spring): 3-40

* Wang, C. (2005) 'Modernity: East Asia'. In *New Dictionary of the History of Ideas*, vol. 4, Marianne Cline Horowitz ed. Detroit: Charles Scribner's Sons, 1479-1485

Wang, H. (2007) 'The politics of imagining Asia: a genealogical analysis'. *Inter-Asia Cultural Studies*. 8(1): 1-17.

Traditional Asia (Week 2)

Japan:

Dunn, C. (1972) *Everyday Life in Traditional Japan*. Tokyo: Tuttle Publishing

Hall, J. W. (1962) 'Feudalism in Japan – A Reassessment'. *Comparative Studies in Society and History* 5(1) (Oct.): 15-51

Southeast Asia:

Aung-Thwin, M. (1995) 'The "classical" state in Southeast Asia: the present and the past', *Journal of South East Asian Studies*, 26: 75-91

Cheah Boon Kheng (1994) 'Feudalism in pre-colonial Malaya: the past as colonial discourse', *Journal of South East Asian Studies*, 25: 243-269.

Liberman, V. B. (1978) 'Ethnic Politics in Eighteenth-Century Burma'. *Modern Asian Studies* 12(3): 455-482.

Picken, S. (1997) 'The Imperial Systems in Traditional China and Japan: A Comparative Analysis of Contrasting Political Philosophies and their Contemporary Significance'. *Asian Philosophy*. 7(2) (July): 109-122.

Reid, A. (1980) 'The structure of cities in South East Asia from the fifteenth to the nineteenth centuries', *Journal of South East Asian Studies*, 12: 235-250.



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European Colonialism and its impacts (Week 3-5)

General:

- De Koninck, R. and Dery, S. (1997) 'Agricultural expansion as tool of population redistribution in South East Asia', *Journal of South East Asian Studies*, 28: 1-26.
- * Dixon, C. *South East Asia in the World-Economy*. New York: Cambridge University Press, 1991: 57-148.
- Kirk, W. 'South East Asia in the colonial period: a study of cores and peripheries in the development process', in Dwyer, D. (ed), *South East Asian development*, London: Longman, 1990: 15-47
- Lande, C. H. 'Ethnic Conflict, Ethnic Accommodation, and Nation-Building in Southeast Asia'. *Studies in Comparative International Development*, 33(4) (Winter 1999):
- Owen, N. G. (1987) 'The paradox of population growth in nineteenth century South East Asia', *Journal of South East Asian Studies*, 18: 45-57.
- Parnwell, M.J.G. (1989) 'Colonialism and Uneven Development in Southeast Asia', *Malaysian Journal of Tropical Geography*, 19: 33-42.

Indonesia/Java

- Booth, A. (1980) 'Living standards and the distribution of income in Colonial Indonesia: a review of the evidence', *Journal of South East Asian Studies*, 11: 91-98
- Booth, A. (1988) 'The burden of taxation in Colonial Indonesia', *Journal of South East Asian Studies*, 19: 310-334.
- *Geertz, C. *Agricultural involution in Java*, Berkeley: University of California Press, 1963. (Excerpts found in Bernstein, H ed. *Underdevelopment and Development: the Third World Today*. Harmondsworth: Penguin, 1973)
- Masselman, G. 'Dutch Colonial Policy in the Seventeenth Century'. *The Journal of Economic History*, 21(4) (Dec., 1961): 455-468.
- * Van der Eng, P (1999) 'Economic Benefits from Colonial Assets: The Case of the Netherlands and Indonesia, 1870-1958'. Groningen Growth and Development Centre, Research Memorandum (GD-39) (<http://www.ggdc.net/pub/gd39.pdf>)
- Wertheim, W. F. 'Conditions in the sugar estates in colonial Java: comparisons with Deli', *Journal of South East Asian Studies*, 24 (1993): 268-284.

Vietnam:

- Popkin, S. L. (1976) 'Corporatism and Colonialism: The Political Economy of Rural Change in Vietnam'. *Comparative Politics* 8(3): 431-464
- Kelly, G.P. (1987) 'Conflict in the Classroom: A Case Study from Vietnam, 1918-38' *British Journal of Sociology of Education* 8(2): 191-212



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Burma/Thailand/

Adas, M. *The Burma delta: economic development and social change on an Asian rice frontier, 1951-1941*, University of Wisconsin Press, Wisconsin

Hill, H. (1984) 'Industrialisation in Burma: an historical perspective', *Journal of South East Asian Studies*, 15: 134-49.

Hong Lysa(1984) *Thailand in the nineteenth century*. Institute of Southeast Asian Studies, Singapore.

Malaysia/the Philippines

Doppers, D. F. 'The Development of Philippine Cities before 1900' *The Journal of Asian Studies*, 31(4) (Aug., 1972): 769-792

Drake, P. J. 'The economic development of British Malaya', *Journal of South East Asian Studies*, 10 (1979): 262-290.

Hirschman, C. (1994) 'Population and society in twentieth-century Southeast Asia', *Journal of South East Asian Studies*, 25: 381-416.



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Japan during the Meiji and Taisho periods (Week 6)

- Clement, E. W. (1903) 'Constitutional Government in Japan'. *Annals of the American Academy of Political and Social Science*. 21 (Mar.): 57-68
- _____ (1912) 'Political Parties in Japan'. *Political Science Quarterly* 27(4) (Dec.): 669-681.
- Hoston, G. A. 'The State, Modernity, and the Fate of Liberalism in Prewar Japan' *The Journal of Asian Studies*, 51(2) (May, 1992): 287-316.
- * Hua, S. (2004) 'The Meiji Restoration (1868) and the Late Qing Reform (1898) Revisited: Strategies and Philosophies'. *East Asia* 21(3) (Fall): 3-22
- Lincicome, M. E. (1999) 'Nationalism, Imperialism, and the International Education Movement in Early Twentieth-Century Japan'. *The Journal of Asian Studies* 58(2) (May): 338-360.
- MacIntyre, A. (1990) 'Individual and Social Morality in Japan and the United States: rival Conceptions of the Self'. *Philosophy East and West* 40(4): 489-497
- Noguchi, K. (2007) 'Examination of the content of individualism/collectivism scales in cultural comparisons of the USA and Japan'. *Asian Journal of Social Psychology* 10: 131-144
- Nolte, S. H.(1984) 'Individualism in Taisho Japan'. *The Journal of Asian Studies*, 43(4) (Aug.): 667-684
- Takano Y. and E. Osaka (1999) 'The Unsupported Common View: Comparing Japan and the U.S. on Individualism/Collectivism' *Asian Journal of Social Psychology* 2: 311-341
- Wilson, S. (2005) 'The Discourse of National Greatness in Japan, 1890-1919'. *Japanese Studies* 25(1) (May): 35-51



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Japanese expansionism and its impact (Week 7)

- Conroy, H. (1955) 'Japanese Nationalism and Expansionism'. *American Historical Review* 60(4): 818-829
- Duus P. and Okimoto D I. (1979) 'Comment: Fascism and the History of Pre-War Japan: the failure of a concept', *The Journal of Asian Studies*, 39/1. (Nov.): 65-76
- Fisher, C. A. (1950) 'The Expansion of Japan: A Study in Oriental Geopolitics: PartII. The Greater East Asia Co-Prosperity Sphere'. *The Geographical Journal* 115(4/6) (Apr. – Jun): 179-193
- Fletcher, M. (1979) 'Intellectuals and Fascism in Early Showa Japan'. *The Journal of Asian Studies*, 39, 1. (Nov.): 39-63.
- Hoston, G. A. (1992) 'The State, Modernity, and the Fate of Liberalism in Prewar Japan'. *The Journal of Asian Studies*, 51, 2. (May): 287-316.
- Journal of South East Asian Studies* (1996) 'Theme issue on the Japan and South East Asia during WW II', 27 (1): 1-214
- Lederer, E. (1934) 'Fascist Tendencies in Japan' *Pacific Affairs* 7(4) (Dec.): 373-385
- Swann, W. L (1996) 'Japan's intentions for its Greater East Asian Co-Prosperity Sphere as indicated in its policy plans for Thailand, *Journal of South East Asian Studies*, 27: 139-149.

Impacts on Korea:

- Kimura, M. (1993) 'Standards of Living in Colonial Korea: Did the Masses Become Worse Off or Better Off under Japanese Rule?' *Journal of Economic History* 53(3) (Sep.): 629-665
- _____ (1995) 'The Economics of Japanese Imperialism in Korea, 1910-1939'. *Economic History Review*, 48(3) (Aug.): 555-574
- Lim, T. C. (1999) 'The Origins of Societal Power in South Korea: Understanding the Physical and Human Legacies'. *Modern Asian Studies* 33(3): 603-633
- Shin, G.W. (1998) 'Agrarian Conflict and the Origins of Korean Capitalism'. *American Journal of Sociology* 103(5) (Mar.): 1309-1351

Issue of history in Japanese foreign relations in Asia:

- Ahmed, A. R. 'The Shame of Hwang v. Japan: How the International Community Has Failed Asia's "Comfort Women"' *Texas Journal of Women & the Law*, 14(1) (fall 2004): 121-149.
- Breen J. 'The dead and the living in the land of peace: a sociology of the Yasukuni shrine', *Mortality* 9(1) (Feb. 2004): 76-93.
- Calder, K. E. 'China and Japan's Simmering Rivalry', *Foreign Affairs*, 85(2) (Mar/Apr. 2006): 129-139.



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- Deans, P. 'Diminishing Returns? Prime Minister Koizumi's Visits to the Yasukuni Shrine in the Context of East Asian Nationalism'. *East Asia* 24 (2007): 269-294
- Kingston, J. 'Awkward Talisman: War, Memory, Reconciliation'. *East Asia* 24 (2007): 295-318.
- Qiu, J. 'The Politics of History and Historical Memory in China-Japan Relations'. *Journal of Chinese Political Science*, 11(1) (Spring 2006): 26-53
- Powles, C. 'Yasukuni Jinja Hoan: Religion and Politics in Contemporary Japan'. *Pacific Affairs*, 49(3) (Autumn, 1976): 491-505.
- Rose, C. 'The textbook issue: domestic sources of Japan's foreign policy'. *Japan Forum*, 11(2) (1999): 205-216
- Roy, D. 'Stirring Samurai, Disapproving Dragon: Japan's Growing Security Activity and Sino-Japan Relations'. *Asian Affairs* 31(2), (Summer 2004): 86-101.
- Seaton, P. 'Reporting the 'Comfort Women' issue, 1991-1992: Japan's contested war memories in the national press. *Japanese Studies* 26(1) (May 2006): 99-112
- Yang, B. 'Redefining Sino-Japanese Relations after Koizumi', *Washington Quarterly*, 29(4) (Autumn 2006): 129-137.

'Peace Constitution' / the Article 9 / Re-arming Japan?

- Hughes, C. W. *Japan's Re-emergence as a 'Normal' Military Power*. Adelphi Papers no.368/9. Abingdon: Routledge for IISS, 2004
- Kades, C. L. 'The American Role in Revising Japan's Imperial Constitution', *Political Science Quarterly*, 104(2). (Summer, 1989): 215-247.
- Senatorov, A. 'Japan: From "Single-Country Pacifism" to a "Normal Country?"' *Far Eastern Affairs*, 32(1), (2004): 55-75.
- Ward, R. E. 'The Origins of the Present Japanese Constitution'. *The American Political Science Review*, 50(4). (Dec., 1956): 980-1010

A-bomb debate:

- Bernstein, B. J. 'Truman and the A-Bomb: Targeting Noncombatants, Using the Bomb, and His Defending the "Decision"'. *The Journal of Military History*. 62, 3. (Jul., 1998): 547-570.
- Newman, R.P. 'Hiroshima and the Trashing of Henry Stimson'. *The New England Quarterly*. 71, 1. (Mar., 1998):5-32.
- Winnacker, R. A. 'The Debate about Hiroshima'. *Military Affairs*. 11, 1. (Spring, 1947): 25-30.



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Nationalism and Communism in Asia (Week 8-9)

* Christie, C. (1996) *A Modern History of Southeast Asia: Decolonisation, Nationalism and Separatism*. London: Tauris.

* _____ (1998) *Southeast Asia in the Twentieth Century: A Reader*. London: Tauris.

_____ (2001) *Ideology and Revolution in Southeast Asia, 1900-1980: Political Ideas of the Anti-Colonial Era*. London: Curzon.

Elson, R. E. (1998) 'Independence: state-building in Southeast Asia'. In *Governance in the Asia-Pacific*. Ed. R. Maidment, et. al. London: Routledge: 79-98.

Lawrance, A. ed.(2004) *China since 1919 – Revolution and Reform: a Sourcebook*. London: Routledge

Mackerras C. and N. Knight. (1985) *Marxism in Asia*. London: Croom Helm.

Reid, A. (2004) 'War, Peace and the Burden of History in Aceh'. *Asian Ethnicity*. 5(3) (Oct.): 301-314

Snow, E. (1972) *Red Star Over China*. Harmondsworth: Penguin.

Duiker, W. J. (1971) 'Phan Boi Chau: Asian Revolutionary in a Changing World' *The Journal of Asian Studies*, 31(1) (Nov.): 77-88

Yong, C. F. (1994) 'Law and Order: British Management of Malayan Communism During the Interwar Years, 1919-1942'. In *Empires, Imperialism and Southeast Asia*, ed. Brook Barrington. Calyton: Monash Asia Institute, 125-47

* Dixon, C (1991) *South East Asia and the world economy*, Cambridge, University Press, Cambridge: 138-148.

Van der Eng, P. (1988) 'Marshall aid as a catalyst in the decolonisation of Indonesia, 1947-49', *Journal of South East Asian Studies*, 19: 335-352.

White, N. (1997) 'The frustrations of development: British business and late colonial state in Malaya, 1945-57', *Journal of South East Asian Studies*, 28: 103-119.



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Cold War in Asia (Week 9-11)

General:

*Yahuda, M. *The International Politics of the Asia-Pacific, 1945-1995*, London: Routledge, 1998

Korea:

* Jervis, R. (1980) 'The Impact of the Korean War on the Cold War'. *The Journal of Conflict Resolution* 24(4) (Dec.): 563-592

Millet, A. R. (2001) 'The Korean War: A 50-Year Critical Historiography' *Journal of Strategic Studies*. 188-223

_____ (2001b) 'Introduction to the Korean War' *Journal of Military History* 65(4): 921-935

Warner, G. (1980) 'The Korean War'. *International Affairs* 56(1): 98-107

Pacific Affairs, 73(4) (Winter, 2000-2001) – Special Issue: Korea in Flux

Indochina Conflicts:

Cawthorne, N. (2003) *Vietnam: A War Lost and Won*. London: Arcturus.

Hess, G. 'The Unending War: Historians and the Vietnamese War', *Diplomatic History*, _____, _____ (1994): 239-264.

Kimball, J. 'How War Ends: the Vietnam War', *Peace and Change*, vol.20, no.2 (April, 1995): 183-202.

Leifer, M. (1975) 'the International Dimensions of Cambodia Conflict', *International Affairs*, vol. 51, issue 4, (Oct.): 531-544.

* _____ (1983) 'The Third Indochina Conflict'

Mizingo, D. P. 'Containment in Asia Reconsidered'. *World Politics*, 19(3) (Apr., 1967): 361-377

Osborne, M. (1980) 'Indo-china in Turmoil: The Historical Background' *History Today* (April): 23-28

* Tønnesson, S. (1985) 'The Longest Wars: Indochina 1945-75' *Journal of Peace Research* 22(1) (Mar.): 9-29

Thee, M. (1980) 'The China-Indochina Conflict: notes on the Background and Conflict Resolution. The Case of Neutrality' *Journal of Peace Research* 17(3): 223-233

* Qiang, Z. (1992) 'China and the Geneva Conference of 1954' *The China Quarterly* 129 (Mar): 103-122